



August 4, 2009

Board of Supervisors
County of Ventura
800 South Victoria Avenue
Ventura, CA 93009

SUBJECT: Approval and Authorization of FY 2009-10 Contract Amendment with Heidi Rosenbaum, M.D. for Psychiatric Physician Services; Approval and Authorization for the Behavioral Health Director or designee to sign the Medi-Cal Data Privacy and Security Agreement with the California Department of Mental Health; Approval and Authorization for Behavioral Health Director to sign and submit the FY 2009-10 Mental Health Services Act Annual Update to the State Department of Mental Health; Approval and Authorization for Behavioral Health Director to sign and submit the FY 2009-10 Mental Health Services Act Prevention and Early Intervention Component Plan to the State Department of Mental Health; Approval and Authorization for the Behavioral Health Director to sign and submit the Memorandum of Understanding to establish a Workforce Education and Training Partnership.

RECOMMENDATIONS:

1. Approval and authorization for the Chair of the Board to sign the FY 2009-10 Third Amendment with Heidi Rosenbaum, M.D. to increase the maximum contract amount for Psychiatric Physician Services (Exhibit 1), from \$125,160 to \$125,868 (a \$708 increase), for the service period July 1, 2009 through June 30, 2010.
2. Approval and Authorization for the Ventura County Behavioral Health (VCBH) Director or designee to sign and submit the Medi-Cal Data Privacy and Security Agreement (Exhibits 2-4) with the California Department of Mental Health.
3. Approval and Authorization for the VCBH Director to sign and submit the FY 2009-10 Mental Health Services Act Annual Update (Exhibit 5) to the State Department of Mental Health for review and approval.

4. Approval and Authorization for the VCBH Director to sign and submit the FY 2009-10 Mental Health Services Act Prevention and Early Intervention Component Plan (Exhibits 6-11) to the State Department of Mental Health for review and approval.
5. Approval and Authorization for the VCBH Director to sign and submit the FY 2009-10 Memorandum of Understanding between VCBH and the Southern Counties Regional Partnership to establish a Workforce Education and Training Partnership (Exhibit 12).

FISCAL/MANDATES IMPACT:

MHL BU # 5130

Mandatory: No ☒ Yes ☐ Cite Authority: _____

Source of Funding: County General Fund, Medi-Cal FFP, Realignment, EPSDT, SB 90, and other county revenue.

Funding Match Required: NO

Impact on Other Department(s): None

	<u>2009-2010</u>	<u>2010-2011</u>
Summary of Revenue and Total Costs		
Revenue:	\$552	\$552
Costs:		
Direct Cost	\$708	\$708
Indirect - Dept.	\$	\$
Total Costs	\$708	\$708
Net County Costs	\$156	\$156
Recovered Indirect Cost:	\$	\$

FY 2009-2010 Current Year Budget Projections for Mental Health BU# 5130				
	Adopted Budget	Adjusted Budget	Projected	Estimated Savings/(Deficit)
Appropriations	\$64,477,401	\$64,477,401	\$64,477,401	-
Revenue	\$53,157,192	\$53,157,192	\$53,157,192	-
Net Cost	\$11,320,209	\$11,320,209	\$11,320,209	-

Note: Sufficient appropriations and revenues are available in the Mental Health Program FY 2009-2010 Adopted budget.

DISCUSSION:

Recommendation #1:

Heidi Rosenbaum, M.D. provides children's psychiatric services for the Child and Family Division. Ventura County Behavioral Health (VCBH) requests approval of the Third Amendment to increase the maximum contract amount from \$125,160 to \$125,868 (a \$708 increase). The increase is needed to remit payment to Dr. Rosenbaum for Psychiatric Physician Services that were provided during June of FY 2008-09. There are no rate modifications or other substantive changes.

Recommendation #2:

The Federal Social Security Administration (SSA) is requiring that the California Department of Mental Health (DMH) enter into a Medi-Cal Data Privacy and Security Agreement with County Mental Health Plans (MHP) to ensure the privacy and security of Medi-Cal Personally Identifiable Information (PII). DMH administers the Medi-Cal program and contracts with VCBH for the provision of Specialty Mental Health Services. VCBH performs a variety of administration functions on behalf of Medi-Cal, such as determining eligibility or case managing In-Home Supportive Services clients. In performing these functions, VCBH accesses Medi-Cal PII information (name, SSN, date of birth, drivers license or identification number). This information is disclosed to VCBH by the SSA.

The Medi-Cal Data Privacy and Security Agreement that is before you today is standard format for all County MHP. The agreement contains the terms, conditions, privacy, and security requirements relating to the access, use, and disclosure of Medi-Cal PII. Specifically, the Agreement contains the following sections/information: (1) Privacy and Confidentiality, (2) Employee Training and Discipline, (3) Management Oversight and Monitoring, (4) Confidentiality Statement, (5) Physical Security, (6) Computer Security Safeguards, (7) Paper Document Controls, (8) Notification and Investigation of Breaches, (9) Compliance with SSA Agreement, (10) Assessments and Reviews, (11) Deadline for Substantial Compliance, (12) Assistance in Litigation or Administrative Proceedings, and (13) Signatories. In addition, the agreement between SSA and DMH is incorporated into this agreement and is attached as Exhibit A.

VCBH recommends that the Chair of the Board approve and authorize the VCBH Director or designee to sign and submit the Medi-Cal Data Privacy and Security Agreement with the California Department of Mental Health.

Recommendation #3:

Proposition 63, the Mental Health Services Act (MHSA), was passed by California voters January 2005. The MHSA Act imposes a 1 percent income tax on personal income in excess of \$1,000,000 to provide funding to counties for the development of comprehensive community-based mental health services and supports that will reduce the adverse impact from untreated serious mental illness in adults and severe emotional disturbance in children and youth. The State Department of Mental Health (DMH) required that VCBH develop a plan that details how MHSA funding will be utilized within the county. On an annual basis, VCBH must submit an Annual Update to the State DMH to request funding to implement various programs and services contained within the various components of the MHSA Plan.

The FY 2009-10 Annual Update that is before you today, requests funding from the State DMH for projects detailed within the Community Services and Supports (CSS) and Workforce Education and Training (WET) components of VCBH's MHSA Plan. With respect to the CSS component, the budget is \$20,689,915. VCBH will be using \$13,293,633 in unspent funds from a prior year and will be requesting the balance of \$7,396,282 from the State DMH.

Of the \$20,689,915, \$13,905,608 is for programming and administration, \$1,390,561 for a 10% operating reserve, and \$5,393,746 for the CSS prudent reserve. The State requires that counties achieve a prudent reserve of 50% of the current CSS program plus administration budget. Combined with \$1,559,058 previously dedicated to the prudent reserve by the county, the current allocation allows the county to meet this requirement. The total funding requested from the State DMH for the WET component is \$984,323. The FY 2009-10 Annual Update was posted for 30 day public comment and review from June 16, 2009 through July 16, 2009. A public hearing was held on July 20, 2009, after which it was approved by the Mental Health Board.

VCBH recommends that the Chair of the Board approve and authorize the VCBH Director or designee to submit the FY 2009-10 Annual Update to the State DMH for review and approval.

Recommendation #4:

Prevention and Early Intervention (PEI) is one of the five components of the Mental Health Services Act (MHSA) that was passed by California voters in January 2005 to provide counties with additional funding for mental health services. The PEI component provides funding to counties to: (1) prevent the development of serious emotional disturbance (SED) in children and youth, (2) prevent the development of serious mental illness in adults, and (3) provide relatively low intensity, short duration interventions to improve mental health issues early in their manifestation. The goal of this funding is to reduce the need for more extensive and costly mental health services. The State DMH

requires that counties' PEI Plans provide services to all ages, with at least 50 percent of the funding dedicated to individuals ranging from newborn to 25 years of age.

VCBH is requesting Board approval for the VCBH Director or designee to submit the PEI Component Plan to the State DMH for review and approval. The MHSA budget for the plan for the first year is \$5,250,583. VCBH will be using \$474,249 in unspent funds from a prior year and requesting the balance of \$4,776,334 from the State DMH. Of the \$5,250,583, \$477,326 is for a 10% operating reserve and approximately \$600,000 is for start-up costs. An additional \$1,573,214 in Medi-Cal revenue will also be budgeted during the first year. The PEI Component Plan was posted for 30 day public comment and review from June 16, 2009 through July 16, 2009. A public hearing was held on July 20, 2009, after which it was approved by the Mental Health Board.

Recommendation #5:

On July 28, 2008 the California Department of Mental Health issued information Notice No. 08-20 which provided information for county mental health programs to request MHSA Workforce Education and Training (WET) funds for the purpose of establishing and administering Regional Partnerships for Fiscal Years 2008-11. Regional Partnerships are set forth in Section 5822 of the Welfare and Institutions Code as an important workforce strategy to assist the Public Mental Health System in its efforts to expand outreach to multicultural communities, increase the diversity of the workforce, reduce the stigma associated with mental illness, and promote the use of web-based technologies and distance learning techniques.

On behalf of the Southern California region, San Bernardino County prepared a regional partnership application that included the following counties and city mental health agency: Imperial, Kern, Orange, Riverside, San Bernardino, San Diego, San Luis Obispo, Santa Barbara, and Tri-City Mental Health (Claremont, La Verne, and Pomona). The Southern Counties Regional Partnership (SCRIP) application was approved in January 2009 for a maximum funding of \$600,000 per fiscal year for a total of \$1,800,000. San Bernardino County will serve as the SCRIP fiscal agent and will manage the funds in a separate account. All budget items will be reviewed and approved by the SCRIP Executive Board, composed of mental health directors or their designees. The SCRIP objectives include: (1) pursuing projects that increase the mental health workforce, (2) supporting the career pathways of underrepresented groups, consumers, and family members, and (3) developing a plan for sustainable sources of funding outside of MHSA WET funds for SCRIP participants. As shown above, Ventura County is not yet a member of the SCRIP. VCBH is recommending that Ventura County join the SCRIP to participate and benefit from the WET initiatives and activities.

During Phase One, the participating counties developed the SCRIP infrastructure, drafted the MOU which is before you today for approval, and created job descriptions for the Regional Coordinator and Clerical Support positions. Two Regional Coordinators

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will be hired. One of the Regional Coordinators will support the goals of the Southern-Southern Region, and the other will represent the Northern-Southern counties (including Ventura). Two half-time clerical support positions will also be hired with one working in the southern area and the other in the northern area. These coordinators and clerical staff will be hired as contract employees and paid from the SCRPF funds. During Phase Two, the SCRPF will begin strategic planning and collaborative building by identifying key priority initiatives, identifying potential collaborators to participate, and establishing the goals of the partnership. During Phase Three, the SCRPF will identify, define, prioritize, and implement their projects.

VCBH recommends that the Board approve and authorize the VCBH Director to sign and submit the FY 2009-10 Memorandum of Understanding between VCBH and the Southern Counties Regional Partnership to establish a Workforce Education and Training Partnership. Approval of this item will impose no additional local cost to the County. The funding source for this MOU is the Mental Health Services Act Regional Partnership Funds and participation in this MOU does not change the allocation of MHPA funds to the County.

This Board letter has been reviewed by the County Executive Office, the Auditor-Controller's Office, and County Counsel. If you have any questions regarding this item, please contact Meloney Roy at 981-2214.



MELONEY ROY
Director



MICHAEL POWERS
Director
Health Care Agency

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Attachments:

- Exhibit 1 – FY 09-10 Heidi Rosenbaum, M.D. – 3rd Amendment
- Exhibit 2 – FY 09-10 Medi-Cal Data Privacy and Security Agreement 1
- Exhibit 3 – FY 09-10 Medi-Cal Data Privacy and Security Agreement 2
- Exhibit 4 – FY 09-10 Medi-Cal Data Privacy and Security Agreement 3
- Exhibit 5 – FY 09-10 MHSA Annual Update
- Exhibit 6 – FY 09-10 MHSA Prevention and Early Intervention (PEI) Plan
- Exhibit 7 – FY 09-10 MHSA PEI Plan Appendix 1
- Exhibit 8 – FY 09-10 MHSA PEI Plan Appendix 2
- Exhibit 9 – FY 09-10 MHSA PEI Plan Appendix 3
- Exhibit 10 – FY 09-10 MHSA PEI Plan Appendix 4
- Exhibit 11 – FY 09-10 MHSA PEI Plan Appendix 5
- Exhibit 12 – FY 09-10 MOU Southern Counties Regional Partnership